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Dear Sir:

Transmitted herewith for filing is the patent application of  
Inventor(s): Eric B. REMER of American Fork, Utah; David A. KING of Highland, Utah;  
and David L. REMER of Orem, UtahFor: SYSTEM AND METHOD FOR SUPPORTING MULTIPLE ENCRYPTION OR  
AUTHENTICATION SCHEMES OVER A CONNECTION ON A NETWORK

Enclosed are:

- ☒ 6 Sheets of formal drawings  
☒ An assignment of the invention to Intel Corporation.  
☒ Declaration and Power of Attorney.


CALCULATION OF FEES					
ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	FEE
A TOTAL CLAIMS FEE	37 -20*= 17	17	x \$9 or x \$18	\$ 306	
B INDEPENDENT CLAIMS FEE**	4 -3*= 1	1	x\$39 or x 78	\$78	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$384
D MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$130 LARGE ENTITY FEE = \$260		\$0
E BASIC FEE*			SMALL ENTITY FEE = \$345 LARGE ENTITY FEE = \$690		\$690
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1074
G ASSIGNMENT RECORDING FEE				\$40	\$40
**LIST INDEPENDENT CLAIMS 1, 9, 17 and 35					

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Respectfully submitted,

  
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Cynthia Belton  
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APPLICATION FOR  
UNITED STATES PATENT  
IN THE NAME OF

Eric Remer

and

David King

and

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for

**SYSTEM AND METHOD FOR SUPPORTING MULTIPLE  
ENCRYPTION OR AUTHENTICATION SCHEMES OVER A  
CONNECTION ON A NETWORK**

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# SYSTEM AND METHOD FOR SUPPORTING MULTIPLE ENCRYPTION OR AUTHENTICATION SCHEMES OVER A CONNECTION ON A NETWORK

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention:

The present invention relates to establishing a secure connection with a device on a network, and in particular, to systems and methods for supporting multiple encryption/authentication schemes from a source entity to a target entity protected by an access control mechanism and communicating securely between the two entities over the Internet.

### 2. Related Art:

Computer networks, such as a local area network (LAN), wide area network (WAN) or other Ethernet-based systems facilitate communications among systems of computers. These systems may be connected to each other, and possibly to terminals and other peripheral devices, by physical and/or wireless communications lines. Each entity on a network may be generally classified as a 'client' (i.e. an entity that initiates requests) or a 'server' (i.e. an entity that receives and responds to requests), although a single entity may also perform different roles at different times. Transfers of information across the network are typically conducted in compliance with one or more network protocols to ensure that the information may be properly delivered and interpreted. One such protocol is the Hypertext Transfer Protocol or HTTP, an application-level protocol that provides a basis for information transfer across the Internet. As shown in FIG. 1, HTTP is a query/response protocol in which an entity such as a client directs a query for information to a specific resource (such as a file or web page, as identified by a Universal Resource Locator or URL) and another entity such as a server forwards an appropriate response associated with that resource.

A LAN allows computers or terminals that are located near one another to share resources such as storage devices, printers, and other peripheral equipment. A LAN that is connected to a larger network may include one or more access points (or 'gateways') through which devices within the LAN may communicate with devices outside the LAN. Access control mechanisms (or 'ACMs') provide security against unauthorized access to the LAN by controlling or restricting the flow of information across the access points. FIG. 2, for example, shows a LAN 230 that is connected to the Internet 250 only through an ACM 20a. Due to the presence of ACM 20a at this access point, a remote computer 20c that is connected to the Internet 250 may not freely interact with devices connected to LAN 230 such as computer 10a. Any request for information that is sent by remote computer 20c to computer 10a will be scrutinized by ACM 20a and may be rejected.

One type of ACM is a firewall, which is a protective layer that separates a computer network from external network traffic. This layer may be implemented in software, hardware, or any combination of the two. For example, firewall application software may be installed on a server to create a combination called a 'firewall server.' Another type of ACM is a server running an application program that evaluates incoming requests according to a predefined set of rules (also called 'packet filtering'). Such a device is called a 'proxy server' or simply a 'proxy.' To entities outside the network, the proxy may act as a server, receiving and evaluating incoming transmissions. To devices within the network, the proxy may act as a client, forwarding the incoming transmissions that conform to its rules.

Unfortunately, the characteristics that make firewalls or proxies effective in controlling the flow of information into the network also lead to increased complexity and cost. For example, when a source entity outside the LAN seeks to be connected with a target entity within

the LAN, complex modifications and/or costly changes to the ACM may be necessary to permit the connection. In addition, significant processing resources are consumed in evaluating all gateway traffic to ensure compliance with the network's security rules and thereby protect the network from potentially harmful traffic.

5           Some solutions to these problems of overhead – such as setting aside a dedicated, open port in the firewall through which external traffic may enter – may create unacceptable security risks. Other, more secure solutions include virtual private networks (VPNs), which use encryption to allow users on different networks to exchange information with each other in a secure manner over the Internet. This encryption effectively creates a secure “tunnel” between  
10 sender and receiver so that even though the information may pass through many other entities during transmission, it is accessible only to the sender and the receiver.

Although a VPN offers a higher level of data security, no reduction in overhead processing is thereby achieved, as network traffic entering the LAN through the VPN must still pass through and be evaluated by the ACM. Adding a VPN to an existing network also involves  
15 a significant investment in resources and may introduce bugs or errors into a stable system. It is desirable to reduce or avoid these costs and risks.

Furthermore, a VPN presents the problem of requiring all the entities that belong to it to use the same authentication and encryption schemes when the entities wish to communicate with each other in a secure manner. A VPN does not support multiple encryption and/or  
20 authentication schemes that may be utilized in a connection between a source entity and a target entity over the Internet. Therefore, there is a need for a system and a method to support multiple encryption and/or authentication schemes over a connection over the Internet, allowing entities that utilize different encryption and/or authentication schemes to be securely connected.

## BRIEF DESCRIPTION OF THE FIGURES

Figure 1 illustrates the flow of data in an HTTP query and response system;

Figure 2 shows a conventional system including a local area network and a remote computer;

5        Figure 3 shows a system for communicating over a secure connection on a network according to an embodiment of the invention;

Figure 4 illustrates processes for communicating over a secure connection on a network according to an embodiment of the present invention;

10       Figure 5 shows a flow chart for an extension of a method according to an embodiment of the invention; and

Figure 6 shows a flow chart for another extension of a method according to an embodiment of the invention.



## DETAILED DESCRIPTION

Embodiments of the present invention are directed to systems and methods of supporting multiple encryption or authentication schemes over a connection on a network. FIG. 3 shows an overall perspective view of a first embodiment of the invention. A source entity 50 is connected to a network such as the Internet 250. A computer network such as a LAN 230 comprises various components including a target entity 60 and a connection entity 10b. Other components such as additional computers, printers, and file servers may also be connected to the LAN 230. The LAN 230 is connected to an external network (here, the Internet 250) through an ACM 20a, which protects components within the LAN 230 from external network traffic by intercepting communication requests that are sent directly to these components from entities in the external network.

A trusted arbitrator 20b is also connected to the Internet 250. Subject to the restrictions dictated by the ACM 20a, information may thereby flow among entities connected to the LAN 230, the source entity 50 and the trusted arbitrator 20b. The entities may be any device or process that originates or terminates a request for information. For example, an entity may be implemented with a LAN, a computer, an application program, or an embedded processor.

In one embodiment, one of the entities connected to the LAN 230 is the connection entity 10b, which interacts with the trusted arbitrator 20b regarding communication requests and secure connection requests sent by the external entities (such as the source entity 50) to devices within the LAN 230. The connection entity 10b may reside on a device such as a router, on a dedicated computer, or on a computer that performs other tasks as well. For example, the ACM 20a and the connection entity 10b may reside on the same entity. In another embodiment, the connection entity 10b may be replaced by a connection service provided by an entity within the LAN 230

behind the ACM 20a. The platform on which the connection service resides is irrelevant. For example, the connection service may reside on a computer or a specialized application.

Regardless of the form of the connection entity 10b and the connection service, the trusted arbitrator 20b communicates with the connection entity 10b or the entity employing the

5 connection service to receive requests from one or more external entities (such as the source entity 50), such requests being directed to one or more entities within the LAN 230 (such as target entity 60). Moreover, the trusted arbitrator 20b also supports multiple authentication and/or encryption schemes to allow a secure connection between an external entity and an entity within the LAN 230 to be established even when the external entity and the entity within the  
10 LAN 230 utilize different authentication and/or encryption schemes.

According to an embodiment of the invention, the source entity 50 sends a request for establishing a secure connection between the source entity 50 and the target entity 60 to the trusted arbitrator 20b over the Internet 250, rather than directly to the target entity 60 through the ACM 20a. Such request for establishing a secure connection may, for example, be in response to  
15 a user's keyboard or mouse requests, or directed by an application program, or in response to a request by a client within a LAN that includes the source entity 50. In one implementation, the source entity 50 sends the request to the trusted arbitrator 20b through the arbitrator's website, which is accessed by using its Universal Resource Locator (URL). The source entity 50 supplies the trusted arbitrator 20b with information regarding the request, *e.g.*, the identity or location of  
20 the LAN 230, the nature of the request for establishing a secure connection, and the particular entity within the LAN 230 to which the request for establishing a secure connection is directed. The connection entity 10b may also exchange information with the trusted arbitrator 20b through this or another URL associated with the trusted arbitrator 20b.

Upon receiving the request, the trusted arbitrator 20b attempts to authenticate with the source entity 50 whether a desired authentication scheme utilized by the source entity 50 is supported. Authentication is needed to confirm the identity of the source entity 50. The authentication scheme may, for example, be implemented by a password-based authentication process. It may also be implemented by a certificate-based or other type of authentication process. In one implementation, the trusted arbitrator 20b confirms the identity of the source entity 50 through a name and password combination provided by a user at the source entity 50. In another implementation, the identity of the source entity 50 is authenticated by having the source entity 50 digitally sign a randomly generated piece of data and send both the certificate and the signed data across the network. The trusted arbitrator 20b uses techniques of public-key cryptography to validate the signature and confirm the validity of the certificate. In this way, the identification is confirmed. In one embodiment, the trusted arbitrator 20b assigns an authentication identity to source entity 50 upon certification of the request sent by the source entity 50. In another embodiment, trusted arbitrator 20b certifies the request by verifying that the source entity 50 is capable of transmitting and receiving information in a secure manner. By virtue of authentication, an impostor may not assume the identity of the source entity 50 without running afoul of the trusted arbitrator 20b.

Once the source entity 50 is authenticated, the trusted arbitrator 20b evaluates the secure connection request, which includes information regarding a particular encryption scheme (hereinafter referred to as “encryption scheme X”) which the source entity wishes to employ in establishing the secure connection. Within the trusted arbitrator, an encryption service 100 may be implemented to deal specifically with secure connection requests and to support multiple encryption schemes. In one implementation, the encryption service 100 in the trusted arbitrator

20b determines if the multiple encryption schemes supported by the encryption service 100 include encryption scheme X. If the encryption service 100 supports encryption scheme X, a secured connection is established between the source entity 50 and the trusted arbitrator 20b using encryption scheme X.

5           One example of the secured connection is established by using the Secure Sockets Layer (SSL) protocol, wherein all data sent over an encrypted SSL connection is protected. The SSL protocol allows an SSL-enabled server to authenticate itself to an SSL-enabled client, allows the client to authenticate itself to the server, and allows both the client and the server to establish an encrypted connection. The SSL protocol includes sub-protocols that define the format used to transmit data and involves using the SSL record to exchange a series of messages between an SSL-enabled server and an SSL-enabled client. This exchange of messages facilitates authenticating the server to the client, allowing the selection of the cryptographic algorithms, optionally authenticating the client to the server, using public-key encryption techniques to generate shared secrets, and establishing an encrypted SSL connection. Different cryptographic algorithms may be used, including Data Encryption Standard (DES), Digital Signature Algorithm (DSA), Key Exchange Algorithm (KEA), Message Digest algorithm (MD5), Rivest encryption ciphers (RC2), RSA, Secure Hash Algorithm (SHA-1) and triple-DES (DES3).

After a secure connection is formed between the source entity 50 and the trusted arbitrator 20b using encryption scheme X, the trusted arbitrator 20b then attempts to form a secure connection between the trusted arbitrator 20b and the LAN 230. This will effectively create secure connectivity between the source entity 50 and the target entity 60. However, because of the ACM 20a, a secure connection request sent directly from the source entity 50 to the LAN 230 or the trusted arbitrator 20b to the LAN 230 will not be received. The ACM 20a

requires a communication channel between the trusted arbitrator 20b and the entities within the LAN 230 be opened from inside of the LAN 230. In one implementation, the connection entity 10b within LAN 230 periodically checks for secure connection requests by sending an HTTP query to the trusted arbitrator 20b. This opens a HTTP channel, allowing the trusted arbitrator 20b to respond by a HTTP response to inform the connection entity 10b that connection requests for establishing a secure connection between the source entity 50 and the target entity 60 exist in the trusted arbitrator 20b. Because this HTTP response is associated with an HTTP query that the connection entity 10b initiated, it passes through the ACM 20a without being evaluated. In one embodiment, the trusted arbitrator 20b informs the connection entity 10b that a secure connection between the connection entity 10b and itself is required.

Upon receiving information indicating that connection requests are present in the trusted arbitrator 20b, the connection entity 10b attempts to establish a secure connection between the trusted arbitrator 20b and the LAN 230. In one embodiment, the trusted arbitrator 20b authenticates the LAN 230. For example, the trusted arbitrator 20b software may use standard techniques of public-key cryptography to check that the certificate and public ID of the LAN 230 are valid and have been issued by a certificate authority (CA) listed in the list of trusted CAs of the trusted arbitrator 20b. In another embodiment, authentication by the LAN 230 to confirm the identity of the trusted arbitrator 20b is performed. For example, the LAN 230 may authenticate the communication on the basis of a shared secret known to the LAN 230 and the trusted arbitrator 20b.

Once authenticated, the connection entity 10b requests a secure connection be established between the trusted arbitrator 20b and the LAN 230 using a particular encryption scheme (hereinafter referred to as encryption scheme Y) employed by the connection entity 10b. Herein,

the encryption scheme used by LAN 230 is different from the encryption scheme used by the source computer 50, namely encryption scheme X. In one embodiment, the encryption service 100 in the trusted arbitrator 20b is implemented to support multiple encryption schemes and deal specifically with secure connection request. If the encryption service 100 supports encryption scheme Y, a secure connection is established between the trusted arbitrator 20b and LAN 230 using encryption scheme Y. In one implementation, the secure connection is established between the trusted arbitrator 20b and the connection entity 10b, with secured data, which is transmitted from the source entity 50 through the secure connections established by the trusted arbitrator 20b, being further transferred to the target entity 60. In another implementation, the secure connection is established between the trusted arbitrator 20b and the target entity 60.

Thus, a secure connection is established between the source entity 50 and the trusted arbitrator 20b using a first authentication scheme and encryption scheme X. A secure connection is also established between the trusted arbitrator 20b and the target entity 60 using a second authentication scheme and encryption scheme Y. With these two connections, the trusted arbitrator 20b virtually connects the source entity 50 and the target entity 60 in a secure manner. This allows secure connectivity between the source entity 50 and the target entity 60, both of which may be behind a respective firewall. Because the trusted arbitrator 20b supports multiple authentication and encryption schemes, source and target entities using completely different authentication and/or encryption techniques are able to communicate with each other.

FIG. 4 illustrates processes for supporting multiple encryption and/or authentication schemes over a connection on a network according to an embodiment of the invention. One exemplary use of the processes occurs when a user wishes to manage entities, such as computers

behind a firewall, from a remote point securely. Another exemplary use of the processes may involve general-purpose secure communications between computers behind different firewalls.

In block P120, a source entity 50 sends a connection request for establishing a secure connection between itself and a target entity 60 to the trusted arbitrator 20b. Upon receiving the request, the trusted arbitrator 20b authenticates the source entity 50. The trusted arbitrator 20b may certify the request by, for example, verifying the identity of the source entity 50. In one embodiment, the source entity 50 contacts the arbitrator service residing in the trusted arbitrator 20b and attempts to authenticate. The arbitrator service determines if the specific authentication scheme used by the source entity 50 is supported, as shown in block P125. In block P130, if the specific authentication scheme is supported, then the arbitrator service attempts to authenticate using that authentication scheme. In one implementation, the arbitrator service in the trusted arbitrator 20b may certify the request by verifying identification information that the source entity 50 forwarded along with the request for establishing a secure connection. If the specific authentication scheme is not supported or is otherwise unsuccessful in implementation, then the arbitrator service rejects the request. In one implementation, the arbitrator service returns an error message to the source entity 50.

Once authenticated, an attempt to establish a secure connection between the source entity 50 and the trusted arbitrator 20b is performed. In one embodiment, the source entity 50 sends out a request for a secure connection between the source entity 50 and the trusted arbitrator 20b, as shown in block P135. This request includes information regarding using encryption scheme X to establish the secure connection. In block P140, the trusted arbitrator 20b determines if it supports encryption scheme X. In one implementation, an encryption service 100 may be implemented to support multiple encryption schemes and deal specifically with the request. The

encryption service 100 checks whether the multiple encryption schemes which it supports include encryption scheme X. If the encryption service 100 supports encryption scheme X, a secured connection is established between the source entity 50 and the trusted arbitrator 20b using encryption scheme X, as shown in block P145.

5           With the secure connection established by encryption scheme X, the trusted arbitrator 20b organizes the connection request for forwarding to the connection entity 10b. In one implementation, the trusted arbitrator 20b adds the connection request to a queue in a secure area within its website that may be designated for the LAN 230 or the connection entity 10b, as shown in block P150. The organization of this secure area may be dynamically reconfigurable to  
10           promote the efficient processing of requests originating from multiple remote entities and/or directed to multiple LANs. It should be noted that, in another implementation, the connection request may be organized for forwarding to the connection entity 10b before the secure connection is established.

          As shown in block 155, the connection entity 10b regularly polls trusted arbitrator 20b to  
15           determine whether any connection request directed to devices within the LAN 230 are currently present or stored in the trusted arbitrator 20b. In one embodiment, the connection entity 10b polls the trusted arbitrator 20b by sending a HTTP query to its website. If no response indicating the presence of requests is received, the connection entity 10b waits for a predetermined delay period before polling the trusted arbitrator 20b again.

20           In block 160, it is determined whether the queue for the LAN 230 contains a connection request to connect with a device within the LAN 230 securely. If the trusted arbitrator 20b indicates that the connection request is currently present, then the connection entity 10b authenticates with the trusted arbitrator 20b and a secure connection between the trusted



arbitrator 20b and the LAN 230 is established. In one embodiment, the connection entity 10b sends a HTTP query to the trusted arbitrator 20b to open a HTTP channel between the two entities, as shown in block P165. With an open communication channel, data exchanges for authentication and for establishing secure connection between the trusted arbitrator 20b and the LAN 230 are allowed. Because any response by the trusted arbitrator 20b to the HTTP query is associated with the HTTP query that the connection entity 10b initiated, it passes through the ACM 20a without being evaluated.

In block P170, the open communication channel allows the connection entity 10b to authenticate with the trusted arbitrator 20b if the desired authentication scheme is supported. In one implementation, client authentication may be employed to allow the trusted arbitrator 20b to confirm the identity of the connection entity 10b. In another implementation, server authentication may be employed to allow the connection entity 10b to confirm the identity of the trusted arbitrator 20b. If the desired authentication scheme is not supported or the process of authentication fails, an error message may be output. According to an embodiment, block P170 described above is similar to blocks P125 and P130. In block P170, instead of having the source entity 50 attempt to authenticate with the trusted arbitrator 20b, the connection entity 10b attempts to authenticate with the trusted arbitrator 20b.

Once authentication is completed, a secure connection between the trusted arbitrator 20b and the connection entity 10b is established if the encryption scheme used by the connection entity 10b is supported by the trusted arbitrator 20b, as shown in block P175. If the encryption scheme is supported, then a secure connection between the trusted arbitrator 20b and the LAN 230 is established. Here, it is assumed that this encryption scheme is different from encryption scheme X employed by the source entity 50. If the desired encryption scheme is not supported

or the process of encryption fails, an error message may be output. According to an embodiment, block P175 is similar to blocks P135, P140 and P145. In block P175, instead of having the source entity 50 attempt to establish a secure connection with the trusted arbitrator 20b using encryption scheme X, the connection entity 10b attempts to establish a secure connection with the trusted arbitrator 20b using another encryption scheme.

In one embodiment, the secure connection between the trusted arbitrator 20b and the LAN 230 is established between the trusted arbitrator 20b and the connection entity 10b, wherein encrypted data sent from the trusted arbitrator 20b to the connection entity 10b is decrypted and forwarded to the target entity 60 by the connection 10b. In particular, the connection entity 10b routes the information within an HTTP response to the appropriate device within the LAN 230 (e.g., as indicated within the response). For example, if the response indicates that the information relates to records that are located on a storage medium of the target entity 60, connection entity 10b routes the information to the target entity 60 for processing. In another embodiment, the secure connection between the trusted arbitrator 20b and the LAN 230 is established between the trusted arbitrator 20b and the target entity 60 through the coordination of the connection entity 10b.

In block P180, once both secure connections are established between the source entity 50 and the trusted arbitrator 20b as well as between the trusted arbitrator 20b and the LAN 230, the trusted arbitrator 20b virtually connects the source entity and the LAN 230. This allows secure connectivity between the source entity 50 and the target entity 60 in the LAN 230. In one embodiment, the source entity may be a laptop or a computer trying to communicate with a computer inside of the LAN 230 securely. In another embodiment, both source and target entities may reside behind two different firewalls.

In one exemplary use, the source entity 50, such as a remote computer, may wish to send information securely to a target entity, such as a local computer behind a firewall, wherein the remote computer uses a first encryption scheme and the local computer uses a second encryption scheme. To send information securely, a secure connection between the remote computer and the local computer must first be established. To establish the secure connection, the remote computer may try to send an HTTP query for establishing a secure connection to the local computer. Because the remote computer is not known to the firewall, a query sent by the remote computer directly to the local computer will not reach its destination. Moreover, because the remote computer and the local computer use different encryption schemes, a secure connection cannot be achieved even if the HTTP query is accepted by the firewall. However, if the query is sent as a payload to an HTTP query by a trusted arbitrator that supports multiple encryption and/or authentication schemes as described above, the query will be admitted by the firewall and the secure connection can be established. Thus, the overhead of configuring the system to allow direct secure communication with the remote computer is avoided without comprising the security of the LAN 230.

In one implementation, the source computer contacts an encryption service in the trusted arbitrator and sets up a secure connection with a first encryption scheme. Through a connection service residing behind the firewall, the local computer also contacts the encryption service and sets up a secure connection with a second encryption scheme. The encryption service will decrypt and encrypt from one connection to another, allowing the source computer to communicate securely with the target computer even when the two do not support the same encryption techniques.

In an alternative embodiment as shown in FIG. 5, the connection entity 10b polls trusted arbitrator explicitly via a HTTP query (block P157). If the queue is empty, no response need be sent. If the queue contains a connection request for connecting securely with a device within the LAN 230, then the connection request or some data indicating the existence of such connection request is sent to the connection entity 10b immediately in a HTTP response to that query.

According to an embodiment as shown in FIG. 6, an option to avoid needless secure connections through the trusted arbitrator 20b is provided if the trusted arbitrator 20b detects that the encryption scheme employed by the source entity 50 and the encryption scheme employed by the connection entity 10b are the same. In this embodiment, the trusted arbitrator 20b merely acts as an intermediary for authenticating with the source entity 50 and with the connection entity 10b. Unlike previous embodiments, a secure connection is not first established between the source entity 50 and the trusted arbitrator 20b, and then established between the trusted arbitrator 20b and the LAN 230. Instead, the trusted arbitrator 20b acts only as a facilitator in establishing a direct secure connection between the source entity 50 and the LAN 230.

In one implementation of the embodiment shown in FIG. 6, blocks P120, P125 and P130 are carried out, wherein a connection request is sent from the source entity 50 to the trusted arbitrator 20b and authentication is performed. Then, in block P230, the trusted arbitrator 20b determines whether the source entity 50 and the LAN 230 employ the same type of encryption scheme. Such determination may be achieved by comparing the encryption scheme information in the connection request from the source entity 50 with pre-stored encryption scheme information of the LAN 230 in the trusted arbitrator 20b. Instead of comparing with pre-stored information, the trusted arbitrator 20b may obtain encryption scheme information of the LAN 230 on an as needed basis through, for example, HTTP queries and responses.

If the trusted arbitrator 20b determines that the source entity 50 and the LAN 230 do not employ the same type of encryption scheme, then steps beginning with block P135 shown in FIG. 4 are carried out according to an embodiment of the invention. However, if the trusted arbitrator 20b determines that same type of encryption scheme is employed by both, the trusted arbitrator 20b facilitates the source entity 50 and the LAN 230 in establishing a secure connection directly between them. In one embodiment, the trusted arbitrator 20b includes an encryption service that deals specifically with secure connection requests and supports multiple encryption schemes. Since the source entity 50 and the LAN 230 employ the same encryption scheme, special software residing at the source entity 50 bypasses the encryption service in the trusted arbitrator 20b, as shown in block P240. Because the encryption service is bypassed, a secure connection between the source entity 50 and the trusted arbitrator 20b is not established. Essentially, only authentication is performed between the source entity 50 and the trusted arbitrator 20b.

At the arbitrator trusted 20b side, another service allows a communication channel to be opened between the trusted arbitrator 20b and the connection entity 10b, as shown in block P250. With an open communication channel, the connection entity 10b attempts to authenticate with the trusted arbitrator 20b. In one implementation, the trusted arbitrator 20b determines whether the desired authentication scheme to be employed is supported, as shown in block P260. In block P270, if the desired authentication scheme is supported, then the trusted arbitrator 20b authenticates with the connection entity 10b using the authentication scheme. If the specific authentication scheme is not supported or is otherwise unsuccessful in implementation, then the trusted arbitrator 20b rejects the request. In one implementation, the trusted arbitrator 20b returns an error message to the source entity 50.

Once authentication is successful, the trusted arbitrator 20b sends to the connection entity 10b a message that the source entity 50 wishes to establish a secure connection with the LAN 230. In block P280, the special software that allows the encryption service to be bypassed (or other software) acts to open a communication channel between the source entity 50 and the LAN 230. In one implementation, the trusted arbitrator 20b sends a request to the connection entity 10b through the open communication channel, informing the connection entity 10b of the source entity's wish, wherein the forwarded information contains address information of the source entity 50. The connection entity 10b then sends an HTTP request for establishing a secure connection directly from the LAN 230 to the source entity 50 based on the address information. The source entity 50 responds to the request by sending an HTTP response back to the connection entity 10b, creating an open communication channel directly between the source entity 50 and the connection entity 10b.

In block P290, the open communication channel allows a secure connection to be established between the source entity 50 and the LAN 230. In one embodiment, the secure connection is established between the source entity 50 and the connection entity 10b, with encrypted data being decrypted at the connection entity 10b and the decrypted data being forwarded to the target entity 60. In another embodiment, the secure connection is established directly between the source entity 50 and the target entity 60 with the assistance of the connection entity 10b.

As described above, a method or apparatus according to an embodiment of the invention allows a secure connection to be established with an entity outside a LAN without changing the infrastructure of the LAN and while reducing monitoring costs. In one implementation, this connection is supported by communication through a trusted third-party website, thereby

minimizing the risk of damage to the LAN due to breaches by unauthorized entities. The trusted third-party website further supports multiple encryption and/or authentication schemes. This allows the entity outside the LAN and an entity inside the LAN using completely different authentication and/or encryption techniques to communicate with each other.

5           While the description above refers to particular embodiments of the present invention, it will be understood that many modifications may be made without departing from the spirit thereof. Various modifications to these embodiments are possible, and the generic principles presented herein may also be applied to other embodiments. For example, an embodiment of the invention may use a connection entity that is implemented as any combination of (1) hardware  
10 such as a computer or a device for processing network traffic such as a router, (2) firmware loaded into non-volatile storage, and/or (3) software loaded into random-access memory in the form of machine-readable code, such code being executable by an array of logic elements such as a microprocessor or other digital signal processing unit. The connection entity may also reside on a dedicated computer or may be simply one among several tasks executing on one  
15 computer. Thus, the present invention is not intended to be limited to the embodiments shown above, but rather, is to be accorded the widest scope consistent with the principles and novel features disclosed in any fashion herein. The accompanying claims are intended to cover such modifications as would fall within the true scope and spirit of the present invention. The presently disclosed embodiments are therefore to be considered in all respects as illustrative and  
20 not restrictive, the scope of the invention being indicated by the appended claims, rather than the foregoing description, and all changes which come within the meaning and range of equivalency of the claims are therefore intended to be embraced therein.

## CLAIMS

What is claimed is:

1. A method comprising:

transmitting a first request from a source entity to a trusted arbitrator, the first request  
5 relating at least in part to establishing a secure connection between the source entity and a target  
entity;

establishing a secure connection between the source entity and the trusted arbitrator using  
a first encryption scheme in response to the first request;

transmitting a second request from a connection entity to the trusted arbitrator;

10 transmitting a first response from the trusted arbitrator to the connection entity in  
response to the second request, the first response being associated at least in part with the first  
request; and

establishing a secure connection between the trusted arbitrator and the connection entity  
using a second encryption scheme in response to the first response.

15 2. The method according to claim 1, wherein the trusted arbitrator authenticates with the  
source entity before the secure connection using the first encryption scheme is established.

3. The method according to claim 2, wherein the trusted arbitrator supports multiple  
20 authentication schemes and determines, before source entity is authenticated, whether a desired  
authentication scheme used by the source entity is supported.



4. The method according to claim 1, wherein the connection entity authenticates with the trusted arbitrator before the secure connection using the second encryption scheme is established.

5. The method according to claim 1, wherein at least one among the second request and the first response conforms at least substantially to a Hypertext Transfer Protocol.

6. The method according to claim 1, wherein at least one among the first and second requests is directed to a Uniform Resource Locator associated with the trusted arbitrator.

7. The method according to claim 1, wherein during at least a part of a period between a time of the transmitting of the first request and a time of the transmitting of the first response, the first request is stored in an area associated with the connection entity in the trusted arbitrator.

8. The method according to claim 1, wherein if the connection entity does not receive the first response within a predetermined period of a time of the transmitting of the second request, the transmitting of the second request is repeated.

9. A computer readable medium including computer readable instructions encoded thereon for:

transmitting a first request from a source entity to a trusted arbitrator, the first request relating at least in part to a target entity;

5 establishing a secure connection between the source entity and the trusted arbitrator using a first encryption scheme in response to the first request;

transmitting a second request from a connection entity to the trusted arbitrator;

transmitting a first response from the trusted arbitrator to the connection entity in response to the second request, the first response being associated at least in part with the first request; and

10 establishing a secure connection between the trusted arbitrator and the connection entity using a second encryption scheme in response to the first.

11. The computer readable medium of claim 9, further comprising computer readable instruction encoded thereon for authenticating the source entity before the secure connection using the first encryption scheme is established.

12. The computer readable medium of claim 10, wherein the trusted arbitrator supports multiple authentication schemes and determines, before the source entity is authenticated, whether a desired authentication scheme used by the source entity is supported.

12. The computer readable medium of claim 9, further comprising computer readable instruction encoded thereon for authenticating the trusted arbitrator before transmitting the first response.

5 13. The computer readable medium of claim 9, wherein at least one among the second request and the first response conforms at least substantially to a Hypertext Transfer Protocol.

14. The computer readable medium of claim 9, wherein at least one among the first and second requests is directed to a Uniform Resource Locator associated with the trusted arbitrator.

15. The computer readable medium of claim 9, wherein during at least a part of a period between a time of the transmitting of the first request and a time of the transmitting of the first response, the first request is stored in an area associated with the connection entity in the trusted arbitrator.

16. The computer readable medium of claim 9, wherein if the connection entity does not receive the first response within a predetermined period of a time of the transmitting of the second request, the transmitting of the second request is repeated.

17. A system in a computer network having a target entity, a connection entity coupled to the target entity, and an access control mechanism coupled to the connection entity, the system comprising:

a trusted arbitrator coupled to the access control mechanism; and

5 a source entity coupled to the trusted arbitrator, wherein

the trusted arbitrator receives a first request for establishing a secure connection from the source entity, the first request relating at least in part to the target entity,

in response to the first request, a secure connection is established between the source entity and the trusted arbitrator using a first encryption scheme,

10 the connection entity transmits a second request to the trusted arbitrator,

in response to the second request, the trusted arbitrator transmits a first response to the connection entity, the first response being associated at least in part with the first request, and

in response to the first response, a secure connection between the trusted arbitrator and the computer network is established using a second encryption scheme.

15 18. The system according to claim 17, wherein the secure connection between the trusted arbitrator and the computer network is established between the trusted arbitrator and the connection entity.

20 19. The system according to claim 17, wherein the secure connection between the trusted arbitrator and the computer network is established between the trusted arbitrator and the target entity.

20. The system according to claim 17, wherein the trusted arbitrator authenticates with the source entity before the secure connection using the first encryption scheme is established.

21. The system according to claim 20, wherein the trusted arbitrator authenticates the source entity by verifying identification information sent by the source entity.

22. The system according to claim 20, wherein the trusted arbitrator supports multiple authentication schemes and determines, before authenticating the source entity, whether a desired authentication scheme used by the source entity is supported.

23. The system according to claim 17, wherein an entity inside of the computer network authenticates with the trusted arbitrator before the secure connection using the second encryption scheme is established.

24. The system according to claim 23, wherein the trusted arbitrator supports multiple authentication schemes and determines, before being authenticated, whether a desired authentication scheme used by the computer network is supported.

25. The system according to claim 17, wherein the first request is a query that conforms at least substantially to a Hypertext Transfer Protocol, and the first response is a response that conforms at least substantially to a Hypertext Transfer Protocol.

26. The system according to claim 17, wherein the access control mechanism is a firewall.

27. The system according to claim 17, wherein the access control mechanism is a proxy server.

5

28. The system according to claim 17, wherein the access control mechanism is coupled to the trusted arbitrator at least in part through the Internet.

29. The system according to claim 17, wherein the remote entity is coupled to the trusted arbitrator at least in part through the Internet.

30. The system according to claim 17, wherein at least one among the first and second requests are directed to a Uniform Resource Locator associated with the trusted arbitrator.

31. The system according to claim 17, wherein during at least a part of a period between a time of the sending of the first request and a time of the sending of the first response, the trusted arbitrator stores the first request in an area associated with the connection entity.

32. The system according to claim 17, wherein if the connection entity does not receive the first response within a predetermined period of a time of the sending of the second request, the sending of the second request is repeated.

33. The system according to claim 17, wherein before a time of sending the first response, the trusted arbitrator sends a notice to the connection entity, said notice being in response to the first request.

5 34. The system according to claim 17, wherein the connection entity forwards at least a portion of the first request to the target entity.

35. A system for establishing a secure connection comprising:

10 a computer network employing an encryption scheme, said computer network including a target entity, a connection entity coupled to the target entity, and an access control mechanism coupled to the connection entity;

a trusted arbitrator coupled to the access control mechanism; and

15 a source entity coupled to the trusted arbitrator, the source entity employing the same encryption scheme, wherein the trusted arbitrator authenticates with the source entity and the connection entity,

the trusted arbitrator receives a first request for establishing a secure connection from the source entity, the first request relating at least in part to the target entity,

the connection entity transmits a second request to the trusted arbitrator,

20 in response to the second request, the trusted arbitrator transmits a first response to the connection entity, the first response being associated at least in part with the first request, and

in response to the first response, a secure connection between the source entity and the computer network is established using the encryption scheme.

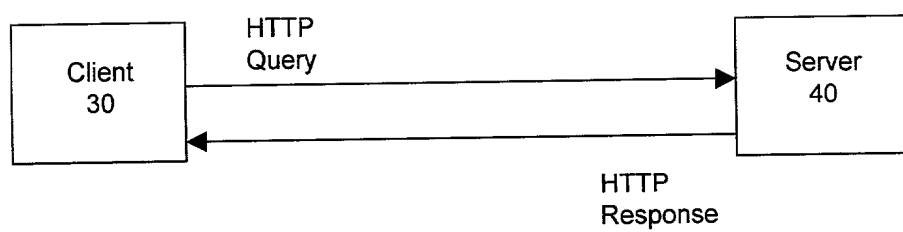
5 37. The system according to claim 35, wherein the secure connection between the source entity and the computer network is established between the source entity and the target entity.



## ABSTRACT

A system and method for establishing a secure connection with an entity protected by an access control mechanism. A trusted arbitrator is used as an intermediary between a computer network employing the access control mechanism and external entities seeking to communicate securely with an entity inside the computer network. Connection requests for establishing a secure connection from an external entity are routed to the trusted arbitrator, which interacts with both the external entity and entities within the computer network. A secure connection is established between the external entity and the trusted arbitrator. Another secure connection is established between the entities within the computer network and the trusted arbitrator. The trusted arbitrator decrypts and encrypts from one connection to another, allowing the external entity to communicate securely with the computer network. Because the trusted arbitrator may support multiple authentication and/or encryption schemes, secure communication can be achieved even if the external entity and the computer network do not support the same authentication and/or encryption schemes.

FIG. 1



**FIG. 2**  
(Prior Art)

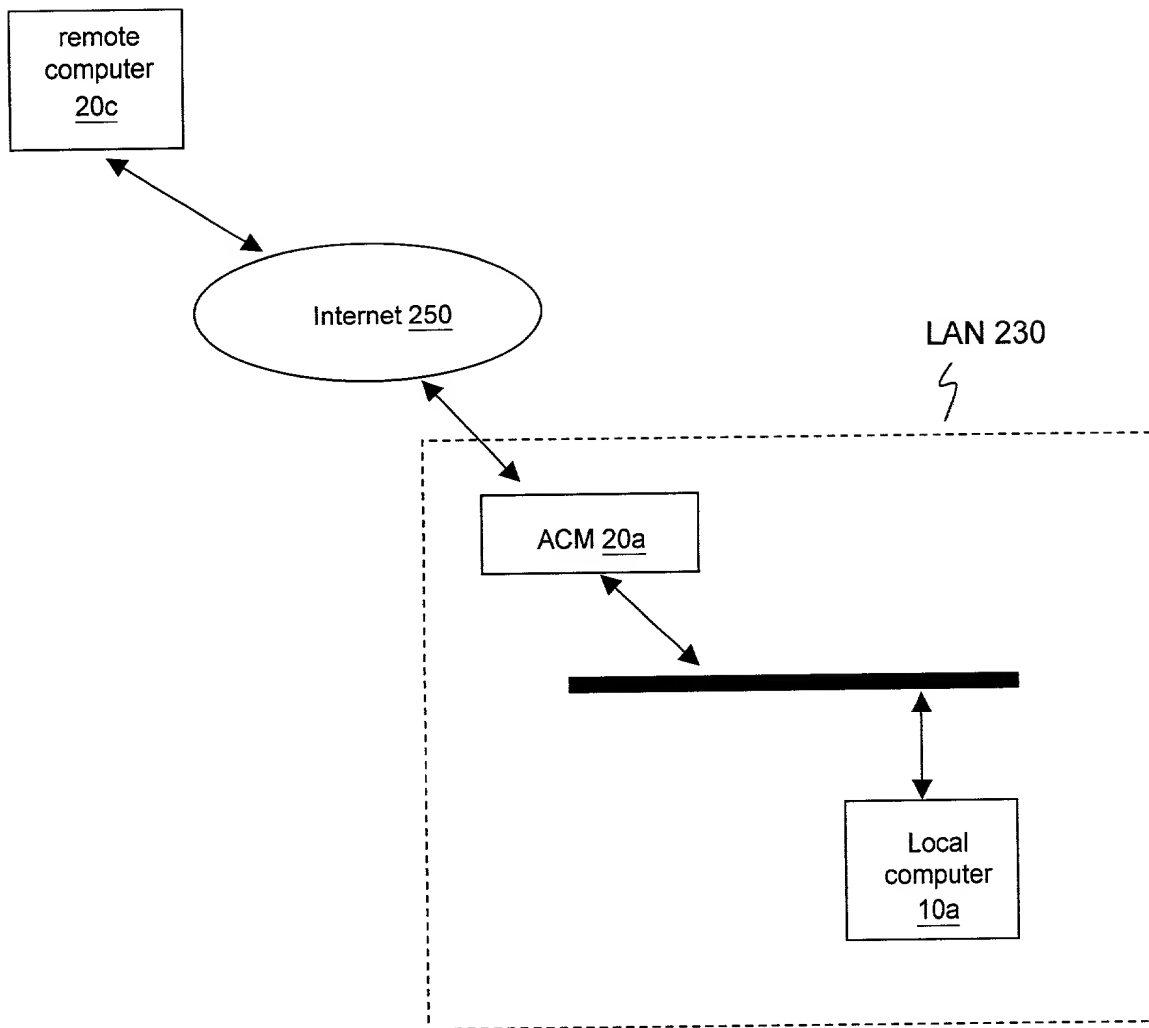


FIG. 3

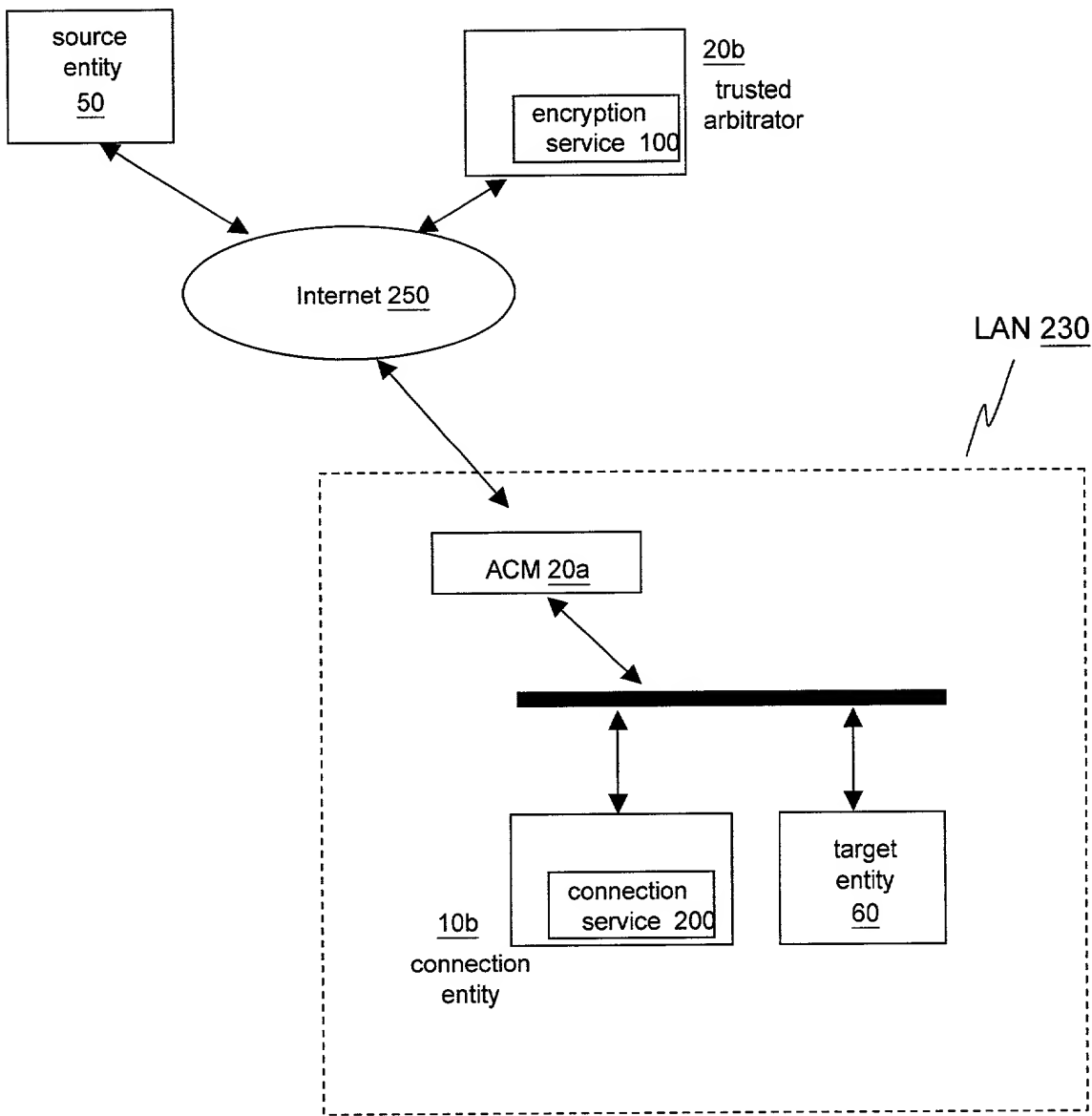


FIG. 4

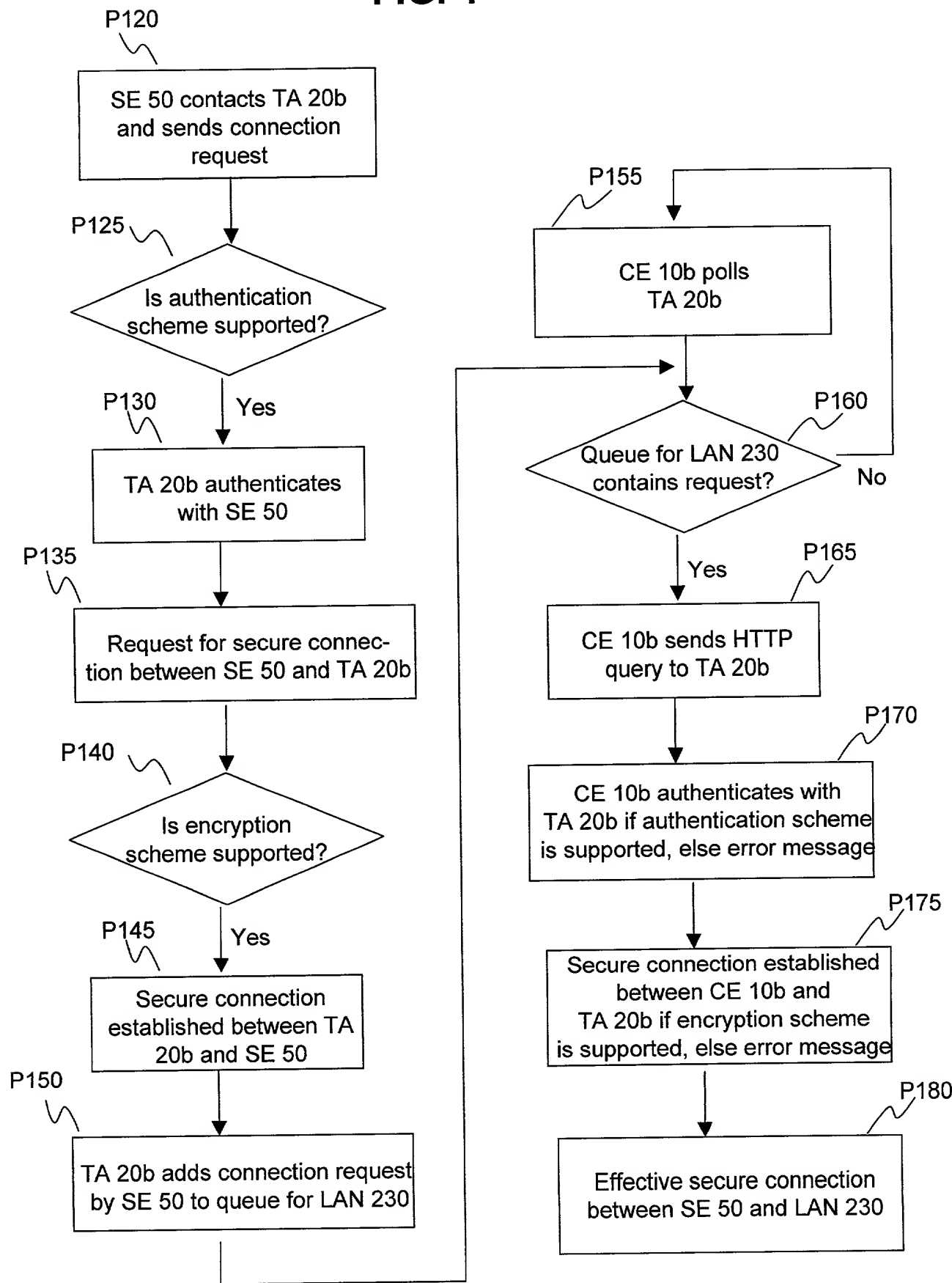


FIG. 5

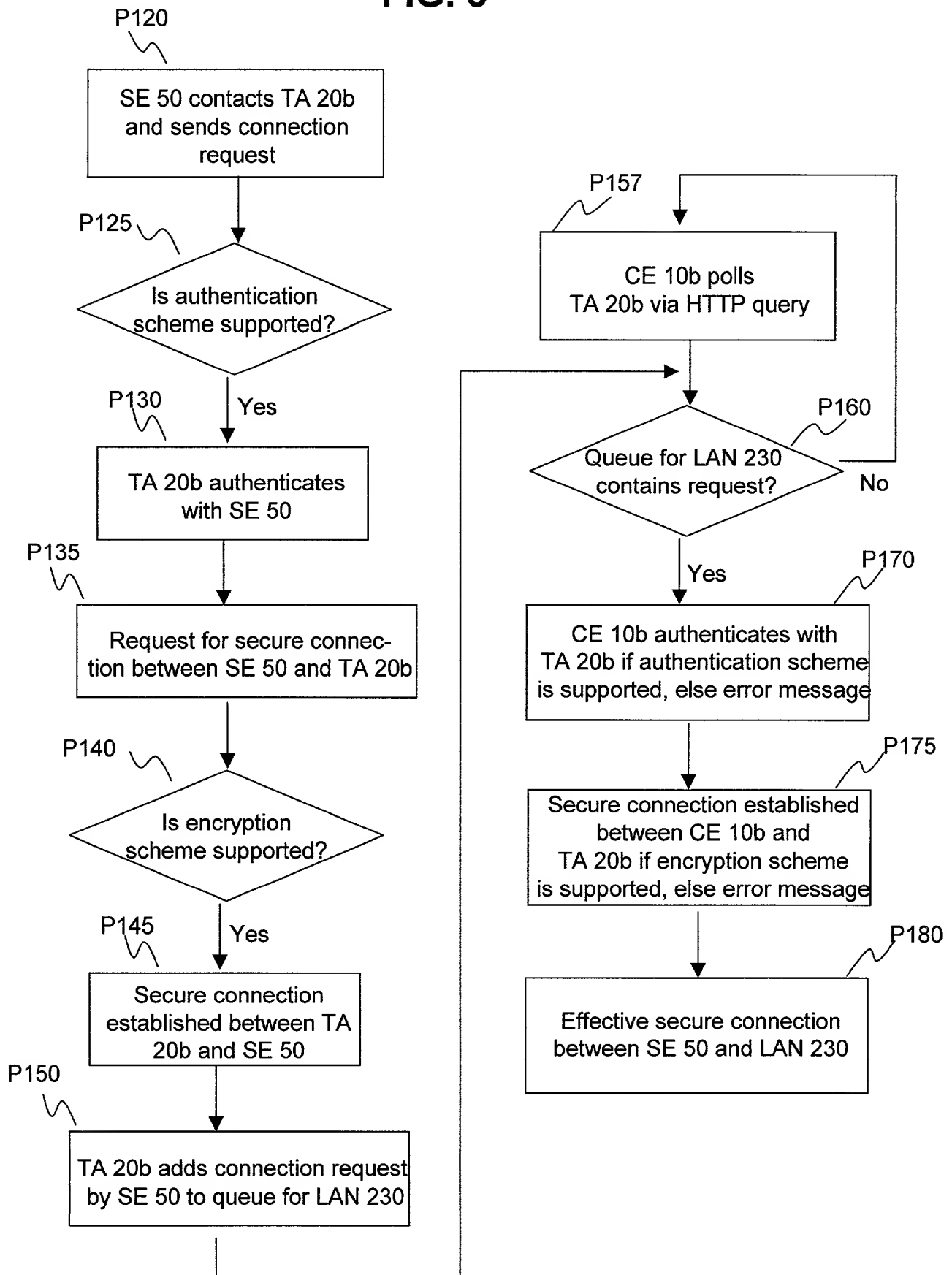
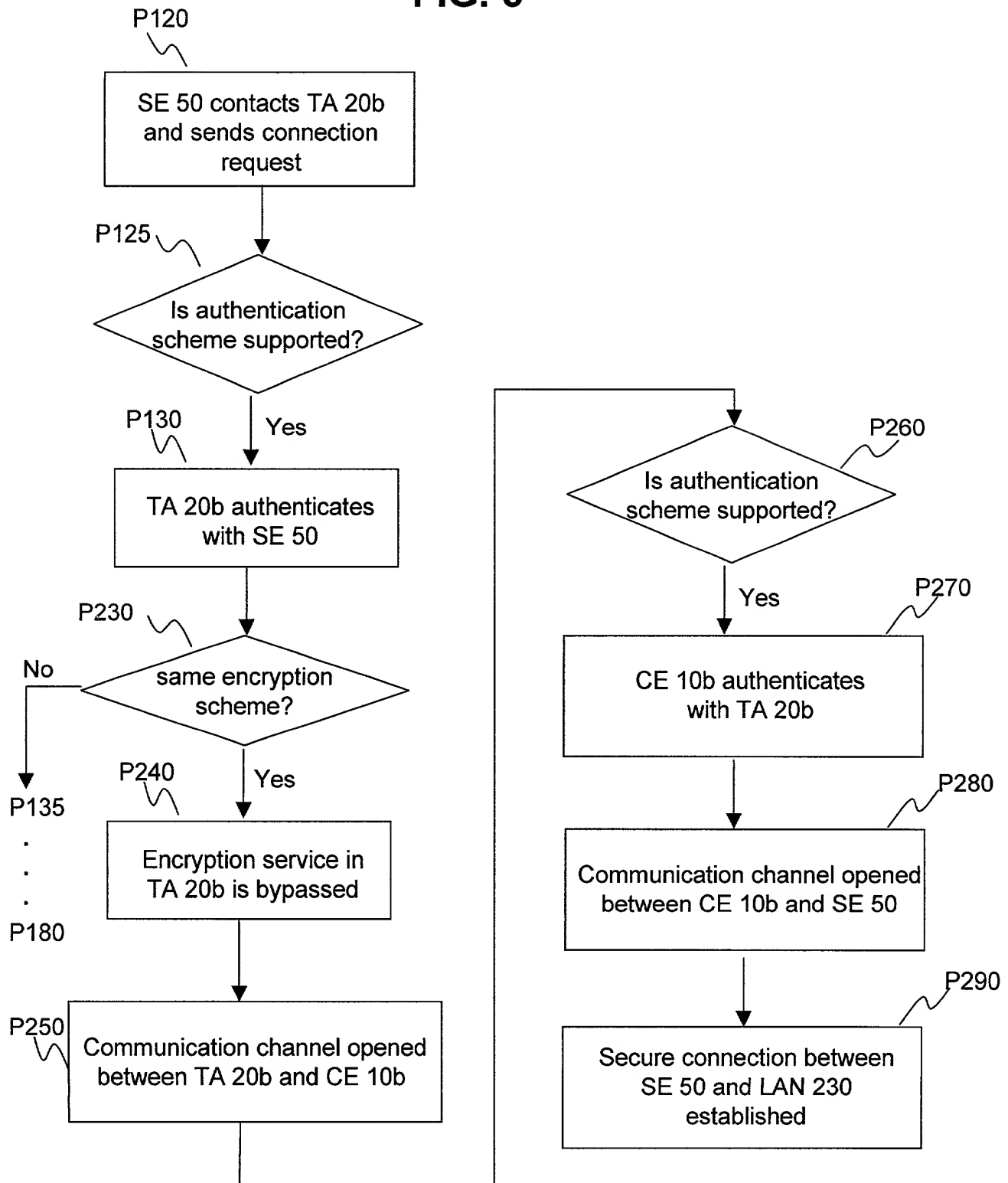


FIG. 6



FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

PM & S  
FORM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the **INVENTION ENTITLED: SYSTEM AND METHOD FOR SUPPORTING MULTIPLE ENCRYPTION OR AUTHENTICATION SCHEMES OVER A CONNECTION ON A NETWORK**

the specification of which (CHECK applicable BOX(ES))  
X A. ☒ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
→ C. ☐ was filed as PCT International Application No. PCT/ \_\_\_\_\_ / \_\_\_\_\_ on \_\_\_\_\_  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application.

PRIOR FOREIGN APPLICATION(S) Number	Country	Day/MONTH/Year Filed	Date first Laid- open or Published	Date Patented or Granted	Priority NOT Claimed
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If more prior foreign applications, X box at bottom and continue on attached page.

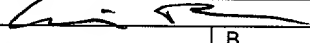
Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

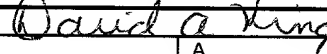
PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S) Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status pending, abandoned, patented	Priority NOT Claimed
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

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FOR ADDITIONAL INVENTORS, "X" box ☒ and proceed on the attached page to list each additional inventor.  
☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. PM81674-265752



## DECLARATION AND POWER OF ATTORNEY

(continued)

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First	Middle Initial	Family Name
Residence	City	State/Foreign Country
Post Office Address	Country of Citizenship	
(include Zip Code)		

(6) INVENTOR'S SIGNATURE:

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First	Middle Initial	Family Name
Residence	City	State/Foreign Country
Post Office Address	Country of Citizenship	
(include Zip Code)		

(7) INVENTOR'S SIGNATURE:

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(include Zip Code)		

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(include Zip Code)		

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First	Middle Initial	Family Name
Residence	City	State/Foreign Country
Post Office Address	Country of Citizenship	
(include Zip Code)		

**Rule 56(a) & (b) = 37 C.F.R. 1.56(a) & (b)**  
**PATENT AND TRADEMARK CASES - RULES OF PRACTICE**  
**DUTY OF DISCLOSURE**

- (a) ...Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the [Patent and Trademark] Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability...(b) information is material to patentability when it is not cumulative and (1) It also establishes by itself, or in combination with other information, a prima facie case of unpatentability of a claim or (2) refutes, or is inconsistent with, a position the applicant takes in: (i) Opposing an argument of unpatentability relied on by the Office, or (ii) Asserting an argument of patentability

**PATENT LAWS 35 U.S.C.**

**§102. Conditions for patentability; novelty and loss of right to patent**

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months\* before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

**§103. Condition for patentability; non-obvious subject matter**

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

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\* Six months for Design Applications (35 U.S.C. 172).